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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number 09/929,278  
Filing Date August 15, 2001  
First Named Inventor Keith BENTLEY  
Group Art Unit 2123  
Examiner Name unknown  
Attorney Docket Number 36488-169754

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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
ml	A1	5,008,853		Sara A. BLY et al.	04-16-1991	
ml	A2	5,220,657		Sara A. BLY et al.	06-15-1993	
ml	A3	5,506,983		Robert G. ATKINSON et al.	04-09-1996	
ml	A4	5,613,105		Makr ZBIKOWSKI et al.	03-18-1997	
ml	A5	5,715,441		Robert G. ATKINSON et al.	02-03-1998	
ml	A6	5,706,504		Robert G. ATKINSON et al.	01-06-1998	
ml	A7	5,812,773		Scott NORIN	09-22-1998	
ml	A8	6,088,694		Randal C. BURNS et al.	07-11-2000	
ml	A9	6,134,552		Franz-Joseph FRITZ et al.	10-17-2000	
ml	A10	Re. 36,989		Barry B. WHITE	12-12-2000	
ml	A11	6,182,115		Gennaro A. CUOMO et al.	12-30-2001	
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ml	B1	5,627,936		Prasad et al.	05-06-1997	
ml	B2	5,765,164		Prasad et al.	06-09-1998	
ml	B3	5,778,395		Whiting et al.	07-07-1998	
ml	B4	5,815,415		Bentley et al.	09-29-1998	
ml	B5	5,893,109		DeRose et al.	04-06-1999	
ml	B7	6,134,243		Jones et al.	10-17-2000	
ml	B8	6,167,409		DeRose et al.	12-26-2000	
ml						

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
ml	CI	PCT/ US02	25767			12-10-2002		

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
ml	B1	MICROSOFT CORP., "Storage and Stream Objects" <a href="http://msdn.microsoft.com/library/specs/SID203.HTM">http://msdn.microsoft.com/library/specs/SID203.HTM</a> 2000	
ml	B2	MICROSOFT CORP., "A File System Within a File" <a href="http://msdn.microsoft.com/library/specs/SID202.HTM">http://msdn.microsoft.com/library/specs/SID202.HTM</a> 2000	
ml	B3	MICROSOFT CORP., "Storages and Streams" <a href="http://msdn.microsoft.com/library/psdk/com/stgasstg_227n.HTM">http://msdn.microsoft.com/library/psdk/com/stgasstg_227n.HTM</a> Dec. 5, 2000	
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ml	B6	MICROSOFT CORP., "Direct Access vs. Transacted Access" <a href="http://msdn.microsoft.com/library/specs/SID206.HTM">http://msdn.microsoft.com/library/specs/SID206.HTM</a> 2000	
ml	B7	MICROSOFT CORP., "The Evolution of File Systems" <a href="http://msdn.microsoft.com/library/psdk/com/stgasstg_1zn7.HTM">http://msdn.microsoft.com/library/psdk/com/stgasstg_1zn7.HTM</a> Dec. 5, 2000	
ml	B8	MICROSOFT CORP., "Application Design with Structured Storage" <a href="http://msdn.microsoft.com/library/specs/SID204.HTM">http://msdn.microsoft.com/library/specs/SID204.HTM</a> 2000	

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